

PTO/SB/08A (08-03)

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|   |   |    | Application Number       | 9/751,271            |               |
|   |   |    | Filing Date              | December 28, 2000    |               |
|   |   |    | First Named Inventor     | Clifton A. Alferness |               |
|   |   |    | Art Unit                 | 3732                 |               |
|   |   |    | Examiner Name            | K. Odlund            |               |
| Sheet   | 1 | of | Attorney Docket Number   |                      | 29912-701.201 |

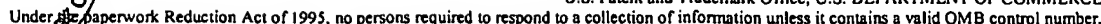
| U.S. PATENT DOCUMENTS |                          |  |                                |  |   |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                          | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
| /NP/                  |                          | US-4,588,395                             | 5/13/1986                      | Lemelson   |   |
|                       |                          | US 5,676,671                             | 10/14/1997                     | Inoue  |   |
|                       |                          | US-5928258                               | 7/27/1999                      | Khan et al   |   |
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| /NP/                  |                          | US- 2004/0176840                         | 9/9/2004                       | Jonathan J.<br>Langberg                            |   |

| FOREIGN PATENT DOCUMENTS |                          |   |                                |  |   |                |
|--------------------------|--------------------------|---|--------------------------------|--|---|----------------|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages or<br>Relevant Figures Appear | T <sup>6</sup> |
|                          |                          | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) |                                |  |   |                |
| /NP/                     |                          | GB0741604   | 12/7/1995                      | S&R J Everett &<br>Company                         |   |                |
|                          |                          | WO98/056435   | 12/17/1998                     | Micro Therapeutics,<br>Inc.                        |   |                |
|                          |                          | EP0893133   | 1/27/1999                      | Medex  |   |                |
|                          |                          | EP1050274   | 11/8/2000                      | Medical Industries<br>Corporation                  |   |                |
|                          |                          | WO01/30248  | 5/3/2001                       | Mark A. Reiley                                     |   |                |
|                          |                          | EP1095634   | 5/2/2001                       | Cordis Corporation                                 |   |                |
| /NP/                     |                          | WO02/053206   | 7/11/2002                      | Cardiac Dimensions<br>Inc.                         |   |                |

|                       |               |                    |            |
|-----------------------|---------------|--------------------|------------|
| Examiner<br>Signature | /Nihir Patel/ | Date<br>Considered | 08/22/2007 |
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| Application Number   | 09/751,271           |
| Filing Date          | December 28, 2000    |
| First Named Inventor | Clifton A. Alferness |
| Art Unit             | 3743                 |
| Examiner Name        | Kathryn P. Odland    |

*(Use as many sheets as necessary)*

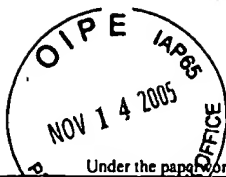
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|   |   |    |   | First Named Inventor     | Clifton A. Alferness |               |
|   |   |    |   | Art Unit                 | 3743                 |               |
|   |   |    |   | Examiner Name            | Kathryn P. Odland    |               |
| Sheet   | 2 | of | 2 | Attorney Docket Number   |                      | 29912-701.201 |

| NON PATENT LITERATURE DOCUMENTS |                          |   |                |
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| /NP/                            |                          | Papageorgiou, P., et al., "Coronary Sinus Pacing Prevents Induction of Atrial Fibrillation,"<br>Circulation 96: 1893-1898, Sept. 16, 1977.  |                |
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| Examiner<br>signature | /Nihir Patel/ | Date<br>Considered | 08/22/2007 |
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|  |   |    |   | Filing Date              | December 28, 2000    |
|  |   |    |   | First Named Inventor     | Clifton A. Alferness |
|  |   |    |   | Art Unit                 | 3743                 |
|  |   |    |   | Examiner Name            | Nihir B. Patel       |
| Sheet  | 1 | Of | 2 | Attorney Docket Number   | 29912-701.201        |

| U.S. PATENT DOCUMENTS |                       |   |                                |  |   |
|-----------------------|-----------------------|---|--------------------------------|--|---|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document Number<br>Number-Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
| /NP/                  | 1.                    | US 3,995,623  | 12/07/1976                     | Black et al.                                       |   |
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| FOREIGN PATENT DOCUMENTS |                       |  |                                |  |   |                |
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| /NP/                     | 2.                    | WO 00/74603 A1   | 12/14/2000                     | Colvin et al.                                      |   |                |
| /NP/                     | 3.                    | WO 01/00111 A1   | 01/04/2001                     | Solem et al.                                       |   |                |
| /NP/                     | 4.                    | WO 02/060352 A1  | 08/08/2002                     | Mitralife  |   |                |
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| Examiner Signature | /Nihir Patel/ | Date Considered | 08/22/2007 |
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|   |   |    |   | Examiner Name            | Nihir B. Patel       |
| Sheet   | 2 | Of | 2 | Attorney Docket Number   | 29912-701.201        |

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| /NP/                            | 5.                    | European Patent Office SEARCH REPORT dated 7/28/2006 for Appln. No. 01991615.4.   |                |
| /NP/                            | 6.                    | GRAY, H. Anatomy of the Human Body. The Systemic Veins. Philadelphia: Lea & Febiger, 1918; Bartleby.com. 2000. Available at www.bartleby.com/107/. Accessed June 7, 2006.   |                |

| UNPUBLISHED PATENT APPLICATIONS |                       |   |                |
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| /NP/                            | 7.                    | Clifton Alferness, et al. U.S. Patent Application No. 11/467,105 entitled "Device and method for modifying the shape of a body organ," filed 08/24/2006 (WSGR Reference No. 29912-705.304)  |                |
| /NP/                            | 8.                    | Gregory Nieminen, et al. U.S. Patent Application No. 11/458,040, entitled "Mitral Valve Annuloplasty Device with Twisted Anchor," filed 07/17/2006 (WSGR Reference No. 29912-733.501)   |                |
| /NP/                            | 9.                    | Gregory Nieminen, et al. U.S. Patent Application No. 11/458,042, entitled "Mitral Valve Annuloplasty Device with Wide Anchor," filed 07/17/2006 (WSGR Reference No. 29912-733.502)  |                |

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| Examiner Signature | /Nihir Patel/ | Date Considered | 08/22/2007 |
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